

NEAR EAST UNIVERSITY

INSTITUTE OF GRADUATE STUDIES

PHD PROGRAM in BANKING AND FINANCE

COURSE CATALOGUE

2021-22 FALL

➤ **QUALIFICATION AWARDED**

The students who successfully complete the program are awarded the degree of Philosophy of Doctorate in Banking and Finance.

➤ **LEVEL OF QUALIFICATION**

This is a PhD. program

➤ **SPECIFIC ADMISSION REQUIREMENTS**

International students are accepted to this post-graduate program according to master diploma and accomplishing a master thesis.

Exchange student admission is made according to the requirements determined by bilateral agreements signed by NEU and the partner university.

Visiting students can enroll for the courses offered in this program upon the confirmation of the related academic unit. Additionally, they need to prove their English language level since the medium of instruction of the program is English. Also same program provided in Turkish language.

➤ **QUALIFICATION REQUIREMENTS AND REGULATIONS**

The students studying in this post-graduate program are required to have a Cumulative Grade Points Average (CGPA) of not less than 3.00/4.00 and have completed all the courses with at least a letter grade of DD/S in the program in order to graduate. The minimum number of ECTS credits required for graduation is 240. It is also mandatory for the students to complete their compulsory seminar in a specified semester.

➤ **RECOGNITION OF PRIOR LEARNING**

At Near East University, full-time students can be exempted from some courses within the framework of the related bylaws. If the content of the course previously taken in another institution is equivalent to the course offered at NEU, then the student can be exempted from this course with the approval of the related faculty/graduate school after the evaluation of the course content.

➤ **PROFILE OF THE PROGRAM**

The program's goal is to equip its graduates with both the fundamental scientific principles that underpin the key banking and finance techniques in use today and the employment skills that enable those principles to be applied in practice in science. Upon graduation, students should be equipped to pursue a academic career as banking and finance professionals. The graduates will be professionals who can be flexible and integrate in a relatively short time into a wide-range of different sectors of the industry.

	FIN 603	Advanced Research Methods	X	X	X	X	X	X			X	X	
	FIN 602	Advance Theory of Finance		X		X	X	X			X	X	
	AREA ELECTIVE		X	X	X	X	X	X			X	X	
1.YEAR 2.SEM.	FIN 604	Advance Econometrics			X	X	X	X			X	X	
	FIN 60	Advance Corporate Finance	X	X	X	X	X				X	X	
	FIN630	Quantitative Methods in Finance	X	X	X	X	X	X			X	X	
	FIN 608	Seminar		X	X	X	X	X				X	
2.YEAR 1.SEM.	FIN 609	Preparation for PhD. Qualifying Exam	X			X	X					X	
2.YEAR 2.SEM.	FIN 600	PhD. Thesis / Thesis Proposal	X	X	X	X	X	X	X	X	X	X	X
3.YEAR 1.SEM.	FIN 600	PhD. Thesis	X	X	X	X	X	X	X	X	X	X	X
3.YEAR 2.SEM.	FIN 600	PhD. Thesis	X	X	X	X	X	X	X	X	X	X	X
4.YEAR 1.SEM.	FIN 600	PhD. Thesis	X	X	X	X	X	X	X	X	X	X	X
4.YEAR 2.SEM.	FIN 600	PhD. Thesis	X	X	X	X	X	X	X	X	X	X	X
Total ECTS Credits													

➤ **OCCUPATIONAL PROFILES OF GRADUATES**

Graduates of Banking and Finance program may work in the a bank, a university, a public and private institution, or a private company.

➤ **ACCESS TO FURTHER STUDIES**

The students graduating from this program may apply to post-doc programs.

➤ **PROGRAM STRUCTURE**

The post-graduate program in Banking and Finance consists of 7 courses, 1 seminar and PhD thesis with 240 ECTS credits in total.

There are at least 3 courses in each semester, excluding common compulsory courses and elective courses.

In each program, there are common compulsory courses identified by the Higher Education Council of Turkey (YÖK) and other common courses determined by the University Senate.

Beginning from the junior (third) year, elective courses are anticipated in each semester.

22% of the elective courses are taken from other faculties.

There are at least 9 elective courses in each undergraduate program.

➤ **COURSE STRUCTURE DIAGRAM WITH COURSE CREDITS**

To see the course details (such as objectives, learning outcomes, content, assessment and ECTS workload), click the relevant Course Code given in the table below.

PhD.in Banking and Finance							
	DERS KODU	DERS ADI	ECTS	CREDITS	THEORY	PRACTICE	LAB
1.YEAR 1.SEM.	AREA ELECTIVE		7,5	3	3	0	0
	FIN 603	Advanced Research Methods	7,5	3	3	0	0
	FIN 602	Advance Theory of Finance	7,5	3	3	0	0
	AREA ELECTIVE		7,5	3	3	0	0
1.YEAR 2.SEM.	FIN 604	Advance Econometrics	7,5	3	3	0	0
	FIN 606	Advanced Corporate Finance	7,5	3	3	0	0
	FIN 630	Quantitative Methods in Finance	7,5	3	3	0	0
	FIN 608	Seminar	7,5	0	0	0	0

2.YEAR 1.SEM.	FIN 609	Preparation for PhD. Qualifying Exam	30	0	0	0	0
2.YEAR 2.SEM.	FIN 600	PhD. Thesis / Thesis Proposal	30	0	0	0	0
3.YEAR 1.SEM.	FIN 600	PhD. Thesis	30	0	0	0	0
3.YEAR 2.SEM.	FIN 600	PhD. Thesis	30	0	0	0	0
4.YEAR 1.SEM.	FIN 600	PhD. Thesis	30	0	0	0	0
4.YEAR 2.SEM.	FIN 600	PhD. Thesis	30	0	0	0	0
Total ECTS Credits			240				

Additional Notes

A total of 240 ECTS credits of courses are required to graduate. The Banking and Finance students must complete technical and non-technical elective courses to provide a total of 240 ECTS credits. Otherwise they will not be deemed to fulfill the conditions to graduate from the program.

If you need support for these courses due to your disability, please refer to Disability Support Unit. Contact; engelsiz@neu.edu.tr

➤ EXAM REGULATIONS & ASSESSMENT & GRADING

Exam Regulations and, Assessment, and Grading

For each course taken at NEU, the student is given one of the letter grades below by the instructor as the semester course grade. Each grade has also its ECTS grade equivalent

The table below provides the detailed information about the local letter grades, coefficients and ECTS grade equivalents.

SCORE	GRADE	COEFFICIENT	ECTS Grade
90-100	AA	4.0	A
85-89	BA	3.5	B*
80-84	BB	3.0	B*
75-79	CB	2.5	C*
70-74	CC	2.0	C*
60-69	DC	1.5	D
50-59	DD	1.0	E
49 and below	FF	0.0	F

*for these ones, the higher grade is applied

In order to be successful in a course, short cycle (associate degree) and first cycle (bachelor's degree) students have to get a grade of at least DD, second cycle (master's degree) students have to get a grade of at least CC, and third cycle (Ph.D.) students have to get a grade of at least CB to pass a course. For courses which are not included in the cumulative GPA, students need to get a grade of S.

Apart from that, each local grade has its equivalent ECTS grade which makes it easier to transfer the grades of mobility periods of students. The chart above shows the ECTS grading system at NEU.

Also, among the Letter Grades;

I	Incomplete
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S	Satisfactory Completion
U	Unsatisfactory
P	Successful Progress
NP	Not Successful Progress
EX	Exempt
NI	Not included
W	Withdrawal
NA	Never Attended

Grade of I (Incomplete), is given to students who are not able to meet all the course requirements at the end of the semester or summer school due to a valid justification accepted by the instructor. Students who receive a letter grade “I” must complete their missing course requirements and receive a letter grade within one week following the date the end of semester grades or summer school grades submitted. However, in the event of special cases, this period can be extended until two weeks before the beginning of registration for the next semester, upon the recommendation of the respective Graduate School department head and the decision of that academic unit’s administrative board. Otherwise, grade of “I” will automatically become grade of FF, or grade of U.

Grade of S (Satisfactory) is given to students who are successful in non-credited courses.

Grade of U (Unsatisfactory) is given to students who are unsuccessful in non-credited courses.

Grade of P (Successful Progress) is given to students, who continue to the courses that are not included in the GPA that has a period exceeding one semester, and regularly performs the academic studies for the respective semester.

Grade of NP (Not Successful Progress) is given to students, who do not regularly perform the academic studies for the respective semester for courses that are not included in the GPA and have a period exceeding one semester.

Grade of EX (Exempt), is given to students who are exempt from some of the courses in the curriculum.

Grade of NI (Not included) is issued to identify the courses taken by the student in the program or programs which are not included in the GPA of the student. This grade is reported in the students’ transcripts with the respective letter grade. Such courses are not counted as the courses in the program that the student is registered to.

Grade of W (Withdrawal) is used for the courses that the student withdraws from in the first ten weeks of the semester following the add/drop period, upon the recommendation of his/ her advisor and the permission of the instructor that teaches the course. A student is not allowed to withdraw from courses during the first two semesters of his/ her associate/undergraduate degree program and from those courses he/she has to repeat and received grade “W” before, which are not included in the grade average. A student is allowed to withdraw from two courses at the most during his/ her associate degree study, and four courses during his/her undergraduate study upon the recommendation of the advisor and the permission of the instructor that teaches the course. A student has to take the course that he/she withdrew from, the first semester in which it is offered.

Grade “NA” (Never Attended) is issued by the instructor for students who fail to fulfil the attendance and/or requirements of the course and/or who lose their right to take the end of semester exam because they failed to take any of the exams administered throughout the semester. Grade “NA” is not considered in the average calculations.

Both the ECTS grades and the local grades of the students are displayed on the official transcript of the students.

➤ **GRADUATION REQUIREMENTS**

In order to graduate from this post-graduate program, the students are required;

to succeed in all of the courses listed in the curriculum of the program by getting the grade of at least DD/S with a minimum of 240 ECTS

to have a Cumulative Grade Point Average (CGPA) of 3.00 out of 4.00

to complete their compulsory PhD thesis in a specified duration and quality.

➤ **MODE OF STUDY**

This is a full time program.

➤ **PROGRAM DIRECTOR (OR EQUIVALENT)**

Assoc. Prof. Dr. Turgut Türsoy, Head of the Department of Banking and Finance, NEU

➤ **EVALUATION QUESTIONNAIRES**

Evaluation Survey

Graduation Survey

Satisfaction Survey